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Customer Number

Patent  
Case No.: 59525US002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: RABY, RICHARD E  
Application No.: 10/771641 Confirmation No.: 3710  
Filed: 04-FEB-2004  
Title PLANAR GUIDES TO VISUALLY AID ORTHODONTIC APPLIANCE  
PLACEMENT WITHIN A THREE-DIMENSIONAL (3D) ENVIRONMENT

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**INFORMATION DISCLOSURE STATEMENT**

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]**

I hereby certify that this correspondence is being:

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October 27, 2011

/Angela M. Zontelli/

Date

Signed by: Angela M. Zontelli

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311. A fee under 37 CFR § 1.17(p) is required.

**Fees**

- ☐ It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.
- ☒ The fee required under 37 CFR § 1.17(p) will be paid at the time of EFS-Web submission. In the event fees are not or cannot be paid at the time of EFS-Web submission, please charge any fees under 37 CFR § 1.17 which may be required to Deposit Account No. 13-3723.
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**Copies of Documents**

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Respectfully submitted,

October 26, 2011

Date

By: /Lance Vietzke/

Lance L. Vietzke, Reg. No.: 36,708

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Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

<b>Substitute for form 1449A/PTO (modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 1 of 1	<b>Application Number</b>	<b>10/771641</b>
	<b>Filing Date</b>	<b>04-FEB-2004</b>
	<b>First Named Inventor</b>	<b>Raby, Richard E</b>
	<b>Confirmation Number</b>	<b>3710</b>
	<b>Attorney Case Number</b>	<b>59525US002</b>

United States Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US-			
	A2	US-			
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			

Foreign Patent Documents							
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		Ctry. Code	Number-Kind Code (if known)				
	B1	JP	8-168498	07-02-1996			English Abstract
	B2	JP	8-280715	10-29-1996			English Abstract
	B3	JP	2002-500054	01-08-2002			English Abstract
	B4	WO	03/073382	09-04-2003			
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	B6						

OTHER DOCUMENTS			
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<b>*Examiner:</b>	<b>Date Considered:</b>
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